

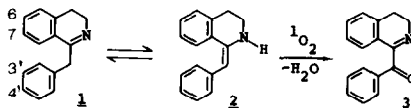
EVIDENCE FOR A CHARGE-TRANSFER MECHANISM IN THE PHOTO-OXYGENATION OF AN ENAMINE

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Summary. Evidence for a charge-transfer mechanism in the photo-oxygenation of the enamine form of 1-benzyl-3,4-dihydroisoquinolines is presented. Substituent effects, normalized to constant enamine concentration, fit best a mechanism involving the formation of a rate-determining charge-transfer complex.

Although charge-transfer mechanisms have been proposed for the photo-oxygenation of enamines¹ and enol ethers,² evidence has only been presented thus far for the special case of cyanoaromatic-sensitized photo-oxygenations.^{2,3} We now report on the photo-oxygenation of 1-benzyl-3,4-dihydroisoquinolines (**1**), which are in equilibrium with their enamines (**2**). Analysis of the effects of substituents on the rate of photo-oxygenation enables the mechanistic possibilities to be limited to a charge-transfer mechanism.



Competitive methylene blue-sensitized photo-oxygenation of equimolar mixtures of substituted and unsubstituted 1-benzyl-3,4-dihydroisoquinolines in chloroform gave the relative rates.⁴ A single oxidation product, the corresponding 1-benzoyl-3,4-dihydroisoquinoline (**3**), was obtained from each substrate.⁵ The reactions obeyed pseudo-first order kinetics and the involvement of singlet oxygen was confirmed by the usual tests.⁶ Plots of the logarithms of the relative rates against the Hammett σ constants⁷ gave straight lines, the slopes of which were found to be $\rho = +0.29$ for the 3'- and 4'-substituted compounds, and $+0.89$ for the 6- and 7-substituted compounds (Fig. 1). The positive values of ρ appear to be inconsistent with the electrophilicity of singlet oxygen, but are due to the enhanced concentration of the enamine tautomer of compounds bearing electron-withdrawing substituents.⁹

The ultraviolet-visible spectrum of these compounds enables the equilibrium amount of enamine (**2**) to be determined since **2** has a chromophore absorbing at 345-455 nm characteristic of the α -aminostilbene grouping. Moreover, the molar extinction coefficient (ϵ) is essentially independent of substituents,¹⁰ thus its value is proportional to the enamine concentration. The

logarithms of ϵ correlate well with the Hammett σ values for both the 3'- and 4'- and the 6- and 7-substituted series (Fig. 2). This shows that the enamine concentration is a linear free energy function of the substituents.

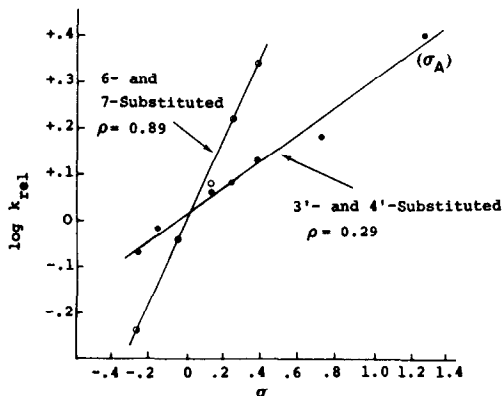


Fig. 1 Logarithm of relative photo-oxygenation rates of some 1-benzyl-3,4-dihydroisoquinolines vs Hammett σ constants

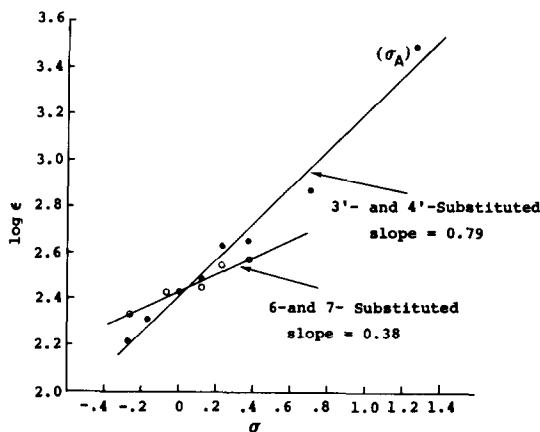


Fig. 2 Logarithm of molar extinction coefficients (ϵ) of some 1-benzyl-3,4-dihydroisoquinolines vs Hammett σ constants

In order to correctly determine the effect of substituents on the rate of singlet oxygen reaction, logarithms of the ratio of relative oxidation rates to ϵ for the various compounds were plotted against Hammett σ constants⁷ (Fig. 3). It is seen that the slope for the 3'- and 4'-substituted compounds is negative ($\rho' = -0.50$), whereas the 6- and 7-substituted compounds give a positive value ($\rho' = +0.53$). How can this result be reconciled with the possible mechanisms? Five processes can be envisaged (Scheme). Firstly, singlet oxygen could react

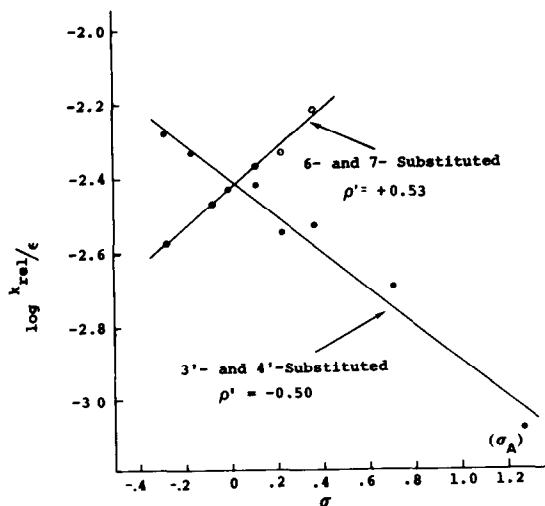
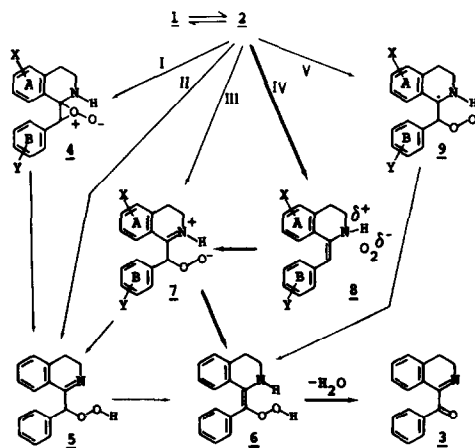


Fig. 3 Logarithm of the ratio of relative photo-oxygenation rates to ϵ vs Hammett σ constants



Scheme

with the electron-rich double bond to generate a perepoxide (4) which could then abstract a proton to give the hydroperoxide 5 which by prototropy and dehydration gives the iminoketone 3.

Secondly, a concerted ene mechanism could occur to give the hydroperoxide 5 directly and then 3 by dehydration. Thirdly, a zwitterionic peroxide (7) could form, which again leads to 3 via the hydroperoxides 5 or 6. A fourth possibility involves transfer of charge from the enamine to singlet oxygen. The resulting complex (8) could collapse to 7 which would evolve to iminoketone 3. Lastly, a diradical mechanism could generate the peroxy diradical 9 to give successively 6 and 3.

We now examine these possibilities to see what effects substituents would have. (I) When substituents X and Y are electron-donating, they should equally stabilize the perepoxide 4 and exhibit negative ρ values. (II) The effect of electron-donating substituents X and Y would be to lower the energy of the transition state for the ene type mechanism, as the energy of the enamine HOMO should be raised. Negative ρ values would be predicted. (III) When X is an electron-donating substituent, the zwitterionic intermediate 7 should be stabilized. The nature of the Y substituent should have little effect on the stability of the intermediate as ring B is essentially insulated from the positive and negative centres. A negative ρ value is therefore expected for the X substituent and a zero value for substituent Y. (IV) An electron-attracting substituent X should stabilize the charge-transfer complex 8 in the same way as it stabilizes the enamine 2, although the effect may be moderated due to positive charge on the nitrogen atom. Moreover, when Y is an electron-donating substituent, the cationic centre on nitrogen should be stabilized. Opposing this effect is the destabilizing influence of electron-donating substituents on the enamine. A small positive ρ value is predicted for substituent X while a small negative value is expected for substituent Y. (V) The diradical intermediate 9 would be stabilized by an electron-donating substituent X, but the nature of Y would have little effect since both radical sites are insulated. A negative ρ value is expected for X and a negligible value is expected for the Y substituent.

In summary, it is seen that of the various mechanisms which are possible for the photo-oxygenation of 1 in its enamine form 2 the charge-transfer process is the one which best fits the substituent effects (Table).

Table

Mechanism	Signs of ρ' predicted for substituents	
	X	Y
I Perepoxide	-	-
II Concerted (ene)	-	-
III Zwitterionic peroxide	-	0
IV Charge transfer	+	-
V Diradical	-	0
Observed:	+	-

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4. The photo-oxidations were carried out as described in ref. 5b.
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6. The rate of photo-oxygenation was unaffected by the addition of 2,6-di-*t*-butyl-*p*-cresol, but was diminished considerably by DABCO; reaction ceased in the absence of light. Identical products and relative rates were obtained using 1,4-dimethylnaphthalene-1,4-endoperoxide in the dark as source of singlet oxygen. See ref. 5b.
7. The constant σ_A , designed for anilinium ion acidity,^{8a} but also used for β -aryl enamines (vinylogous aniline),^{8b} provided the best fit with the experimental data for the 4'-nitro compound.
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10. Only when a strong mesomeric electron-attracting group is conjugated with the nitrogen atom, as in the 4'-nitro compound, is the ϵ value significantly affected by substituents in α -aminostilbenes.^{8b,9c} Adjustment of the k_{rel}/ϵ ratio to compensate for the enhanced ϵ of the 4'-nitro compound gives a ρ' of -0.35 ($r = 0.977$).

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